

Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTY. DOCKET NO: 5777-00201 APPLICANT: Lockwood et al. FILING DATE: July 29, 2003		SERIAL NO: 10/629,538 GROUP: 1626 CONF. NO.: 6864			
U.S. PUBLISHED APPLICATIONS							
EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
Y/D		20050113372	5/26/2005	Lockwood et al.			
		20050009758	1/13/2005	Lockwood et al.			
		20050075337	4/7/2005	Lockwood et al.			
		20050075316	4/7/2005	Lockwood et al.			
		20050065096	3/24/2005	Lockwood et al.			
		20050143475	6/30/2005	Lockwood et al.			
		20050037995	2/17/2005	Lockwood et al.			
		20050065097	3/24/2005	Lockwood et al.			
		20050004235	1/6/2005	Lockwood et al.			
		2005-0090469	4/28/2005	Lockwood et al.			
		20050026874	2/3/2005	Lockwood et al.			
		20050009930	1/13/2005	Lockwood et al.			
		20050009788	1/13/2005	Lockwood et al.			
		20050148517	7/7/2005	Lockwood et al.			
		20050059659	3/17/2005	Lockwood et al.			
	20050059635	3/17/2005	Lockwood et al.				
	20050049248	3/3/2005	Lockwood et al.				
Y		20050261254	11/24/2005	Lockwood et al.			
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
Y/D		Co-Pending U.S. Patent Application No. 11/357,897 (Atty Dkt. No.: 5777-00230) entitled, "STRUCTURAL CAROTENOID ANALOGS FOR THE INHIBITION AND AMELIORATION OF DISEASE" to Lockwood et al. filed February 17, 2006; available in private PAIR.					
Y		Co-Pending U.S. Patent Application No. 11/214,478 (Atty Dkt. No.: 5777-00703) entitled, "CAROTENOID ANALOGS OR DERIVATIVES FOR THE INHIBITION AND AMELIORATION OF INFLAMMATION" to Lockwood et al. filed August 29, 2005; available in private PAIR.					
EXAMINER:		T. A. Solola		DATE CONSIDERED:		4-5-06	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPFP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.							

Information Disclosure Statement--PTO 1449 (modified)

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List of Patents and Publications
For Applicant's Information
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ATTY. DOCKET NO: 5777-00201

APPLICANT: Lockwood et al.

FILING DATE: July 29, 2003

SERIAL NO: 10/629,538

GROUP: 1626

CONF. NO.: 6864

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

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OFFICE OF PETITIONS**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

YD		Co-Pending U.S. Patent Application No. 11/242,641 (Atty Dkt. No.: 5777-00801) entitled, "METHODS FOR THE SYNTHESIS OF CHIRAL DIHYDROXY INTERMEDIATES USEFUL FOR THE CHIRAL SYNTHESIS OF CAROTENOIDS" to Lockwood et al. filed October 3, 2005; available in private PAIR.
		Co-Pending U.S. Patent Application No. 11/242,643 (Atty Dkt. No.: 5777-00802) entitled, "METHODS FOR THE SYNTHESIS OF ASTAXANTHIN" to Lockwood et al. filed October 3, 2005; available in private PAIR.
		Co-Pending U.S. Patent Application No. 11/242,615 (Atty Dkt. No.: 5777-00803) entitled, "METHODS FOR THE SYNTHESIS OF LUTEIN" to Lockwood et al. filed October 3, 2005; available in private PAIR.
		Co-Pending U.S. Patent Application No. 11/242,639 (Atty Dkt. No.: 5777-00804) entitled, "METHODS FOR THE SYNTHESIS OF ZEAXANTHIN" to Lockwood et al. filed October 3, 2005; available in private PAIR.
		Co-Pending U.S. Patent Application No. 11/242,609 (Atty Dkt. No.: 5777-00805) entitled, "METHODS FOR THE SYNTHESIS OF UNSATURATED KETONE INTERMEDIATES USEFUL FOR THE SYNTHESIS OF CAROTENOIDS" to Lockwood et al. filed October 3, 2005; available in private PAIR.
		Co-Pending U.S. Patent Application No. 11/242,645 (Atty Dkt. No.: 5777-00806) entitled, "METHODS FOR THE SYNTHESIS OF ASTAXANTHIN" to Lockwood et al. filed October 3, 2005; available in private PAIR.
		Co-Pending U.S. Patent Application No. 11/242,627 (Atty Dkt. No.: 5777-00807) entitled, "METHODS FOR THE SYNTHESIS OF CHIRAL DIHYDROXY KETONE INTERMEDIATES USEFUL FOR THE CHIRAL SYNTHESIS OF CAROTENOIDS" to Lockwood et al. filed October 3, 2005; available in private PAIR.
✓		Co-Pending U.S. Patent Application No. 11/242,591 (Atty Dkt. No.: 5777-00808) entitled, "METHODS FOR THE SYNTHESIS OF ASTAXANTHIN" to Lockwood et al. filed October 3, 2005; available in private PAIR.
*		Co-Pending U.S. Patent Application No. Unknown (Atty Dkt. No.: 5777-01501) entitled, "CAROTENOIDS, CAROTENOID ANALOGS, OR CAROTENOID DERIVATIVES FOR THE STABILIZATION OR IMPROVEMENT OF VISUAL ACUITY" to Lockwood et al. filed February 22, 2006; available in private PAIR.
		* No identification Number.

EXAMINER:

T. A. Solola

DATE CONSIDERED:

4-5-06

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.